### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Steven H. Hinrichs et al.

Serial No. 09/519,665

Filed: March 6, 2000

For: "Methods and Compositions )

For Modulating

Transcription Factor

Activity"

) Examiner: M. Davis

Art Unit: 1642



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### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited on February 4, 2002 with the United States Postal Service as first class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

Tebruary 4, 2002

Date

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Jane C. Bogan

### RESPONSE TO OFFICE COMMUNICATION

The present submission is in response to the Office Communication dated January 02, 2002 in which the Examiner indicated that the above-identified patent application fails to comply with the sequence listing rules under 37 C.F.R. §§1.821-1.825. Specifically, the Examiner asserted that Claims 14-16 and the specification recite fusion proteins that are not accompanied by sequence identifiers (SEQ ID NO'S).

Applicants respectfully submit that the above-identified patent application contains no disclosure of nucleotide and/or amino acid sequences encoding the fusion proteins in claims 14-16 and the specification. 37 C.F.R. §1.821(c) states that when the claims or description of a patent application contain

sequences disclosed in a separate "sequence listing", the sequences in the claims or description must be accompanied by sequence identifiers. With respect to the fusion proteins in the instant case, Applicants assert that no sequence identifiers are required under 37 C.F.R. §1.821(c) because the nucleotide and/or amino acid sequences encoding the fusion proteins were not disclosed in the claims or the description. Moreover, the nucleotide and/or amino acid sequences encoding the fusion proteins in Claims 14-16 and the specification were not disclosed in the separately filed sequence listing.

Thus, Applicants respectfully submit that the aboveidentified patent application fully complies with the sequence listing rules under 37 C.F.R. §§1.821-1.825.

Respectfully submitted,

DANN, DORFMAN, HERRELL AND SKILLMAN
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## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/519,665	03/06/2000	Steve H Hinrichs	N1374-007	8712
	7590 01/02/2002			
DANN DORFMAN HERRELL & SKILLMAN PESUITE 720		EXAMINER		
1601 MARKET STREET			DAVIS, MINH TAM B	
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			1642	
		The Tourse Agent	DATE MAILED: 01/02/2002	7

Please find below and/or attached an Office communication concerning this application or proceeding.

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EX.	AMINER
ART UNIT	PAPER NUMBER
•	MINH-I AM DAVIS

Please find below a communication from the EXAMINER in charge of this application MAR -5.2002

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821 (500) CENTER 1600|29 and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the following reason(s):

Claims 14-16 and the specification recite fusion proteins which are not accompanied by a sequence identification number.

Any inquiry concerning this communication should be directed to Examiner MINH-TAM DAVIS, Art Unit 1642, whose telephone number is 703-305-2008.

Any inquiry of a general nature or relating to the status of this application should be directed to the Technology Center receptionist whose telephone number is (703) 308-0196.

APPLICANT IS GIVEN A ONE MONTH EXTENDABLE PERIOD WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 CFR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Applicant is requested to return a copy of the attached Notice to Comply with the response.

ANTHONY C. CAPUTA
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 1600

# **Attachment for PTO-948 (Rev. 03/01, or earlier)** 6/18/01



The below text replaces the pre-printed text under the heading, "Information on How to Effect Drawing Changes," on the back of the PTO-948 (Rev. 03/01, or earlier) form.

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### INFORMATION ON HOW TO EFFECT DRAWING CHANGES

### 1. Correction of Informalities - 37 CFR 1.85

New corrected drawings must be filed with the changes incorporated therein. Identifying indicia, if provided, should include the title of the invention, inventor's name, and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin. If corrected drawings are required in a Notice of Allowability (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened statutory period set for reply in the Notice of Allowability. Extensions of time may NOT be obtained under the provisions of 37 CFR 1.136(a) or (b) for filing the corrected drawings after the mailing of a Notice of Allowability. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

# 2. Corrections other than Informalities Noted by Draftsperson on form PTO-948.

All changes to the drawings, other than informalities noted by the Draftsperson, MUST be made in the same manner as above except that, normally, a highlighted (preferably red ink) sketch of the changes to be incorporated into the new drawings MUST be approved by the examiner before the application will be allowed. No changes will be permitted to be made, other than correction of informalities, unless the examiner has approved the proposed changes.

### **Timing of Corrections**

Applicant is required to submit the drawing corrections within the time period set in the attached Office communication. See 37 CFR 1.85(a).

Failure to take corrective action within the set period will result in **ABANDONMENT** of the application.